

Routing and SEC Fees in the USA

1. Routing Fees

Route Name	Route	Exchange	Fee Per Share
	OTC and Listed		
ISLD	Nasdaq Market Center	OTC & Listed	0.0025 (1)
ARCA	ARCA ECN	OTC & Listed	0.0040 (2)
CITX	City / AutoTrade Desk	OTC & Listed	0.0020
EDGE	Edge ECN	OTC & Listed	0.0030
BAT	BATS ECN	OTC & Listed	0.0030
	Listed Only		
NYSE	Super Dot	Listed – NYSE/AMEX	0.0030 (3)
MLX	Super Dot	Listed – NYSE/AMEX	0.0030 (3)
	OTCBB Only		
ARCA	ArcaEdge	OTCBB	50 Bps
CITX	Citi / AutoTrade Desk	OTCBB	5.00
ISLD	Nasdaq Market Center	OTCBB	0.0025

Pricing does not include any pass-through SEC or NASD trading activity fees.

(1) All Market Orders 0.0035 per share.

(2) Odd lot orders (orders under 100 shares) on listed stocks have extra handling fee charge of 0.027 per share.

(3) Listed Billable Fees: Listed specialist charge an additional Billable Fees of 0.0065 per order (0.009 for MLX) for the following orders:

NYSE: Any shares executed after 5 minutes

2. Fees Paid Under Section 31 of the Exchange Act (US SEC Fees)

However, as the SEC has announced, 30 days after the date of enactment of its regular fiscal year 2011 appropriation, the rate for the Section 31 fee will increase from \$16.90 per million to \$19.20 per million. FINRA will notify firms through an Information Notice when the SEC's regular appropriation has been enacted and a final date has been determined for implementing the rate change to **\$19.20 per million**.

0,0000192%

Upozornění: Tyto údaje jsou platné k 13.4.2011. Poplatky se mohou v čase měnit. Na žádost zákazníka poskytne společnost aktuálně platné údaje.